



GLOBAL CLIMATE CHANGE ALLIANCE: PACIFIC SMALL ISLAND STATES PROJECT

FUNDED BY THE EUROPEAN UNION

IMPLEMENTED BY THE SECRETARIAT OF THE PACIFIC COMMUNITY

Reporting Period 2: 1 July 2012 – 31 December 2013

Progress Report for period: 1 January 2013 – 30 June 2013

Submitted 12 August 2013



Participants, mainly youth aged 18 – 35, at a training workshop on proposal preparation using the logical framework approach in the Marshall Islands in July 2013

List of Abbreviations

APAN	Asia Pacific Adaptation Network
AusAID	Australian Agency for International Development
CROP	Council for Regional Organisations in the Pacific
DPCC	Development Partners for Climate Change
EU	European Union
FSM	Federated States of Micronesia
GCCA	Global Climate Change Alliance
GCCA: PSIS	Global Climate Change Alliance: Pacific Small Island States project
GIZ	Deutsche Gesellschaft für Internationale Zusammenarbeit
JNAP	Joint National Action Plan for Disaster Risk Management and Climate Change Adaptation
KRA	Key Result Area
NGO	Non-governmental organisation
NIE	National Implementing Entity
PCCR	Pacific Climate Change Roundtable
PIFACC	Pacific Islands Framework for Action on Climate Change
PIFS	Pacific Islands Forum Secretariat
ROM	Results Oriented Monitoring
SPC	Secretariat of the Pacific Community
SPC-AGTD	Secretariat of the Pacific Community Applied Geosciences and Technology Division
SPREP	Secretariat of the Pacific Regional Environment Programme
TA	technical assistance
UNDP	United Nations Development Programme
USAID	United States Agency for International Development
WACC	Working Arm on Climate Change



Participants at a Planning Workshop in Tonga, June 2013

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Launching the GCCA: PSIS Climate Change Video on “Climate change adaptation in Kiribati: the social dimension”, Kiribati, July 2013

1. INTRODUCTION

1.1 About the Project

The Global Climate Change Alliance: Pacific Small Island States (GCCA: PSIS) project is funded by the European Union (EU) and implemented by the Secretariat of the Pacific Community (SPC) in collaboration with the Secretariat of the Pacific Regional Environment Organisation (SPREP). The project budget is €11.4 million. The implementation period for the GCCA: PSIS project is from the date of signature of the agreement, 19 July 2011, to 19 November 2014.

The overall objective of the GCCA: PSIS project is to support the governments of nine Pacific smaller island states, namely Cook Islands, Federated States of Micronesia (FSM), Kiribati, Marshall Islands, Nauru, Niue, Palau, Tonga and Tuvalu, in their efforts to tackle the adverse effects of climate change. The purpose of the project is to promote long term strategies and approaches to adaptation planning and pave the way for more effective and coordinated aid delivery on climate change at the national and regional level.

The project approach is to assist the nine countries design and implement practical on-the-ground climate change adaptation projects in conjunction with mainstreaming climate change into line ministries and national development plans; thereby helping countries move from an *ad hoc* project-by-project approach towards a programmatic approach underpinning an entire sector. This has the added advantage of helping countries better position themselves to access and benefit from new sources and modalities of climate change funding, e.g. national and sector budget support.

1.2 About this Progress Report

This progress report presents project progress over the six-month period from 1 January – 30 June 2013. Section 2 of this report contains a summary of the main achievements, challenges, project administration and human resources and Section 3 provides more detail on the progress and performance of the project and presents the interim financial report for 1 July 2011 – 30 June 2013. Section 4 of the report contains the work plan for 1 January – 31 December 2013.

Following the EU Results Oriented Monitoring (ROM) mission in October 2012, a revised log frame was prepared and endorsed at the Second Regional Steering Committee Meeting, 3-5 December 2012. The revised log frame has been used as the framework for reporting progress over this past six months.

Following discussions with the Delegation of the European Union for the Pacific in November 2012, it was decided to move the project reporting and the financial reporting to a calendar year basis in the future as outlined in the following table.

Narrative report	Financial report	Funding request
1st Reporting Period 1 July 2011 – 30 June 2012		
<i>Annual report</i> 1 July 2011 – 30 June 2012 submitted 18 July	<i>Interim Financial report</i> 1 July 2011 – 30 June 2012	No funding request submitted

2012	submitted 18 July 2012	
2 nd Reporting Period 1 July 2012 – 31 December 2013		
Progress report 1: 1 July – 31 December 2012, submitted 29 January 2013	Interim Financial report 1 July 2011 – 31 December 2012 submitted 29 January 2013	Request for second tranche submitted 29 January 2013 and resubmitted on 10 th July 2013 after an external audit was conducted
Progress report 2: 1 January – 30 June 2013 submitted 12 August 2013	Interim Financial report: 1 July 2011 – 30 June 2013 submitted 12 August 2013	No new funding request
Annual report 1 January – 31 December 2013 to be submitted January 2014	Interim Financial report 1 January – 31 December 2013 to be submitted January 2014	Request for third tranche to be submitted in 2014

This report therefore represents the Second Progress Report (1 January – 30 June 2013) for the 2nd Reporting Period (July 2012 – December 2013).



Some of the project team at the Joint Meeting on Climate Change Adaptation and Disaster Risk Management, 8-11 July 2013, Nadi, Fiji

2. SUMMARY OF ACHIEVEMENTS, CHALLENGES, PROJECT ADMINISTRATION AND HUMAN RESOURCES

2.1 Summary of Achievements, January – June 2013

Advancing the overall objective

- ✓ The project has made sound progress to advance the overall objective: to support the countries tackle the adverse effects of climate change. Work on climate change mainstreaming has commenced in three countries (Cook Islands, Kiribati and Tonga); the final two countries (Marshall Islands and Tuvalu) have identified their climate change adaptation project; two countries have signed project design documents and **implementation has started**; and project design documents are awaiting signature in three further countries.
- ✓ On-the-job and specific training activities have built the capacity of 260 persons in areas including the conceptualisation of climate change adaptation projects, proposal preparation using the logical framework approach, and reporting using the Climate Change Portal. In particular the training in proposal preparation at the national level is fulfilling an important need in the region.

Advancing the project purpose

- ✓ Coordination amongst donors and partners has been enhanced with regular coordination meetings among partners within SPC, with regional partners, and with partners working on climate finance.
- ✓ A new climate change policy is being developed in Palau and planning is underway for one in Nauru. Both activities are building on and collaborating with disaster risk management activities. Work is underway in two countries on developing and implementing joint national action plans for climate change adaptation and disaster risk management (FSM and Kiribati). Three joint missions with other development partners have been conducted.

Advancing climate change mainstreaming (KRA 1)

- ✓ Version 2 of the climate change profiles have been prepared and will be loaded onto the website by 31 August 2013.
- ✓ Two technical assistance (TA) requests for sector based climate change mainstreaming are being implemented (Tonga – coastal zone management plan; Cook Islands – national implementing entity for the Adaptation Fund); and a TA for the preparation of a climate change communications plan in Kiribati is ongoing.
- ✓ Two TAs relating to the design of the climate change adaptation projects are ongoing (Nauru and Tonga).
- ✓ Additional TA requests have been received and are included in design documents for the climate change adaptation projects.
- ✓ An SPC climate change communications plan is being implemented with the help of an SPC Climate Change Communications Officer who commenced work on 25.03.13. One edition of the *SPC Climate Change and DRM newsletter* was published in June (with two articles on the GCCA: PSIS project); and two issues of the *SPREP Climate Change Matters newsletter* contained articles on the GCCA: PSIS project. Two media releases on the project have been prepared. Four national videos and one regional video on climate change adaptation have

been finalised and distributed and a regional launch was held at the Joint Regional Meeting for DRM and CCA in Fiji on 11th July 2013.

Advancing well-articulated sectoral adaptation strategies that address budget support criteria number 1 (KRA 2)

- ✓ Letters of agreement covering project implementation have been completed in the remaining two countries.
- ✓ Four national coordinators have been appointed (Cook Islands, FSM, Nauru, Tonga) and the remaining 5 countries are in the process of recruiting their national coordinators.
- ✓ An ongoing TA to prepare a regional review of readiness for climate change funding via budget support will be presented at a GCCA: PSIS Regional Meeting on Climate Change Finance using Budget Support Modalities, 25-27 September is to be held in Tonga. (This meeting is being held back-to-back with the 3rd Regional Project Steering Committee Meeting).
- ✓ All nine countries have requested training in proposal preparation using logical framework approach; 25 people were trained in the Cook Islands in May; national workshops in the other 8 countries have been scheduled through to March 2014; and 20 people from SPREP -and SPC received “top-up” training in April.

National climate change adaptation projects implemented (KRA 3)

- ✓ The remaining country, Tuvalu, has selected agriculture as the sector for their climate change adaptation project. The sectors selected by the 9 countries are as follows: coastal and marine resources (1) coastal protection (2), health (1), water (4), agriculture (1). Concept notes have been approved in 7 countries.
- ✓ Approximately 200 people in 7 countries have been involved in multi-stakeholder participatory workshops to plan their adaptation project and prepare log frames.
- ✓ A further two concept notes for adaptation projects have been approved (rainwater capture and storage in Niue and several water related issues in the outlying island states of Palau)
- ✓ Full project design documents have been signed in Cook Islands and Kiribati and **implementation started**. Project design documents are under review in FSM, Palau and Tonga and expected to be signed shortly.

Streamlined technical assistance that supports national adaptation responses delivered by regional organizations in a collaborative manner (KRA 4)

- ✓ The Climate Change Portal is regularly updated with GCCA: PSIS project activities; 25 participants from FSM, Kiribati, Marshall Islands, Nauru and Palau have been trained in uploading information to the portal (February 2013).
- ✓ Collaboration with other donors and partners in the delivery of climate change support is an ongoing activity and is being achieved through joint country missions (3 have been undertaken in this reporting period: Cook Islands, Kiribati, Palau); quarterly climate change finance meetings with PIFS, SPREP and UNDP; quarterly meetings with other donor-supported climate change projects within SPC; and DPCC and WACC are regularly updated with GCCA: PSIS activities.

- ✓ In particular GCCA: PSIS and SPREP have collaborated closely in the planning and arrangements for the PCCR and the Joint Regional Meeting for CCA and DRM (both held in early July); a specific lessons learnt meeting involving SPC and SPREP was held in April 2013.

2.2 Challenges

- ✓ Key challenge identified in the previous reporting period relating to limited human resources in climate change in all the project countries; the large number of donor-driven climate change projects ongoing in the region and competing for the limited human resources; and the difficulties of implementing on-the-ground climate change adaptation projects within the project time frame still remain as significant constraints.
- ✓ New challenges relate to the fact that four countries have chosen to focus their climate change adaptation projects in outer islands where there are the added problems of accessibility and long travel time.
- ✓ Another emerging challenge is the countries reluctance to use their own procurement systems for large projects because of the lengthy processes involved causing delays in implementation. Inexperience in procurement procedures may also be a factor and short term specialist procurement expertise may need to be accessed in the next reporting period.
- ✓ The placement of national coordinators in four countries has resulted in a significant improvement in the delivery of project activities and it is hoped that a similar result will be seen when the remaining 5 coordinators are appointed. However, the actual recruitment is time consuming, taking 3-6 months.
- ✓ SPC's procedures require that funds are transferred to the Ministry of Finance which then disperses the funds to relevant ministries of government. In some countries there is a long delay before the Ministry of Finance disperses the funds to the relevant ministry, slowing down project delivery.
- ✓ It was decided in July 2012 to try and fast track the selection and design of the climate change adaptation projects since these will consume almost half the project budget and it is therefore important to get them started at an early stage. This prioritisation has been maintained during the current reporting period, resulting in areas such as mainstreaming slipping back in delivery. However specific mainstreaming activities have been included in the design of the adaptation projects, so mainstreaming activities are expected to pick up in the next reporting period.
- ✓ The addition of a Project Liaison Officer has helped the project's core team deliver on their work load, and it is anticipated that the two new climate change advisers, starting 24th June and 26th August, will further assist in this aspect of the project.

2.3 Project Administration and Human Resources

A revised log frame, risk management strategy and exit strategy, as well as the work plan for 1 July 2012 – 31 December 2013 were agreed by the Project Steering Committee during the Second Regional Steering Committee Meeting, 3-5 December 2012. The revised log frame is used in this report since it is clearer both for the country partners and the project team. However, the revised log frame has not been officially accepted by the EU because some of the KRAs were reworded.

The following table lists the project core team.

Position	Position Holder	Date of Commencement
Project Manager	Gillian Cambers	16.01.12
Climate Change Adviser 1: North Pacific	Pasha Carruthers	31.01.12
Climate Change Adviser 2: South Pacific	Graham Sem	15.02.12
Climate Change Adviser 3:	Juliana Ungaro	24.06.13
Climate Change Adviser 4	Aaron Atteridge	26.08.13
Climate Change Coordination Officer (SPREP)	Tagaloa Cooper	15.08.12
Project Finance Officer	Sheik Irfaan	15.06.12
Project Liaison Officer	Sanivalati Tubuna	01.12.12

The project core team meet regularly, every 10-14 days, using Skype to coordinate project activities. Meetings are minuted. The project team met once face-to-face from 10-11 April 2013 at SPREP Offices in Samoa.

The following table lists the national coordinators.

Country	Position Holder	Date of Commencement
Cook Islands	Teina Rongo, Climate Change Cook Islands	07.01.13
FSM	Johnny Silbanuez, Office of Environment and Emergency Management	08.02.13
Kiribati	Under recruitment	
Marshall Islands	Under recruitment	
Nauru	Claudette Wharton, Department of Commerce, Industry & Environment	19.06.13
Niue	Under recruitment	
Palau	Under recruitment	
Tonga	Manu Manuofetoa, Ministry of Lands, Environment, Climate Change and Natural Resources	11.03.13
Tuvalu	Under recruitment	

The Third Project Steering Committee Meeting has been scheduled for 30 September – 1st October in Tonga (back-to-back with the Regional Meeting on Climate Change Finance using Budget Support Modalities, 25-27 September, and a face-to-face team meeting on 2nd October).



Manu Manuofetoa, GCCA: PSIS National Coordinator for Tonga making a presentation at the GCCA Meeting in Belize, 18th July 2013.

3. REVIEW OF PROGRESS AND PERFORMANCE

The following description of progress and activities is presented using version 2 of the log frame, and activities are reported against the work plan for the period July 2012 to December 2013 (both of which were endorsed at the Second Steering Committee Meeting 3-5 December 2012).

3.1 Description of Activities

Performance and success indicators	Planned Activities for January-December 2013	Progress and issues January-June 2013	Activities for July-December 2013
Overall objective: To support the Governments of Cook Islands, Kiribati, Marshall Islands, Federated States of Micronesia, Nauru, Niue, Palau, Tonga and Tuvalu, in their efforts to tackle the adverse effects of climate change			
<ul style="list-style-type: none"> Ten new activities that address country requests for climate change adaptation undertaken in an effective and sustainable manner. Capacity of a minimum of 40 national sector specialists for integrating climate change adaptation into at least three sectors built from minimal level to moderate level. 	Detailed under KRAs	<ul style="list-style-type: none"> 3 requests from countries for climate change mainstreaming ongoing: Cook Islands (1), Kiribati (1) Tonga (1). An additional 2 countries (approx. 10 persons) gained experience in conceptualising climate change project proposals through preparing project concept notes (FSM, Palau). 25 persons from Cook Islands received formal training in proposal preparation using the logical framework approach (April), one participant submitted a proposal to a donor as a result of the training. 20 participants from SPC and SPREP received “top-up” training in logical framework approach, April 2013. 180 persons in 6 countries (FSM, Kiribati, Nauru, Niue, Palau, Tonga) gained experience in project planning and using logical framework approach through participatory planning workshops. 	Detailed under KRAs

Performance and success indicators	Planned Activities for January-December 2013	Progress and issues January-June 2013	Activities for July-December 2013
		<ul style="list-style-type: none"> 25 persons trained in updating the Climate Change Portal (FSM, Kiribati, Marshall Islands, Nauru, Palau) in February 2013. 	

Performance and success indicators	Planned Activities for January - December 2013	Progress and issues January-June 2013	Activities for July-December 2013
Purpose: To promote a long term/strategic approach to adaptation planning and budgets and to pave the way towards more effective and coordinated aid delivery modalities at national and at regional level			
<ul style="list-style-type: none"> At least one new formal mechanism in SPC to coordinate four different donors/partners engaged in delivery of climate change resilience. National climate change policy that integrates disaster risk management and includes a budgeted action plan prepared in a minimum of two countries. 	Detailed under the KRAs	<ul style="list-style-type: none"> 2 quarterly meetings conducted for collaborate among SPC's climate change projects funded by AusAID, EU, GIZ and USAID 1 quarterly meeting held with PIFS, UNDP and SPREP to collaborate work in climate change financing. Climate change policy framework preparation -ongoing in Palau in collaboration with a parallel JNAP process. Planning for a climate change policy commenced in Nauru that will build on a JNAP (RONadapt) JNAP process ongoing in Kiribati and planned at the state level in FSM.. 	Detailed under the KRAs
<ul style="list-style-type: none"> New/revised sector plans incorporating climate change resilience in at least four countries by 12/ 2014. National climate change policy in at least one country by 	Key Result Area 1 Climate change mainstreamed into national and/or sector response strategies.		

Performance and success indicators	Planned Activities for January - December 2013	Progress and issues January-June 2013	Activities for July-December 2013
12/ 2014.			
	1.1 Prepare national climate change profiles that identify how climate change is addressed in each country and revise annually		
	<ul style="list-style-type: none"> Version 2 of the Climate Change Profiles available on project website by April 2013 	<ul style="list-style-type: none"> Version 2 of Climate Change profiles prepared by project team by June 2013 Review by countries underway in July-August 2013 <i>Issue: Planning of country-specific climate change adaptation projects prioritised in Q1 and Q2 thereby delaying preparation of climate change profiles..</i> 	<ul style="list-style-type: none"> Profiles (v2) on website by September 2013
	1.2 Prepare and/or advance detailed national and/or sector specific climate change response strategies and plans in at least four countries		
	<ul style="list-style-type: none"> 1.2.1 Advance national climate change response strategy in one country (Palau) 1.2.2 Sector specific climate change response strategies advanced in two countries (CI, Tonga) 	<ul style="list-style-type: none"> This is a 4-part TA. (1) Palau Conservation Society have prepared a community engagement strategy; (2) The Environment Inc. of Palau have prepared a gaps and needs analysis. Deliverables submitted, review ongoing. Tonga - coastal zone management plan for Tongatapu (TA): To commence shortly. Tonga - coastal protection works designed (TA) linked to the adaptation project (KRA3). Completed. Nauru – assessment of roofs for rainwater harvesting (TA) linked to the adaptation project (KRA3). Ongoing. Cook Islands - advance NIE accreditation. Consultant recruitment in 	<ul style="list-style-type: none"> Review and finalise deliverables for Parts 1 & 2; recruit consultants for: (3) preparation of a climate change policy and (4) a list of prioritised and costed activities (investment plan). This activity may go into 2014. Advance preparation of a Climate Change Policy in Nauru and finalise the JNAP (RONadapt). Tonga – advertise and implement TA for coastal zone management plan Nauru - Supervise and complete TA for rainwater harvesting. Cook Islands – Supervise and undertake TA (Cook Islands doing own procurement)

Performance and success indicators	Planned Activities for January - December 2013	Progress and issues January-June 2013	Activities for July-December 2013
	<ul style="list-style-type: none"> 1.2.3 Requests for technical assistance for mainstreaming received and acted on for up to six countries 	<p>progress</p> <ul style="list-style-type: none"> Several requests are embedded in the design documents relating to KRA3 and will be implemented according to the planned schedules in those documents <p><i>Issues: The emphasis in Q1-2 of 2013 has been to help countries design and begin implementation of their adaptation projects (KRA 3). Attention will now turn to mainstreaming needs, several of which are being identified as planning of adaptation projects progresses.</i></p>	<ul style="list-style-type: none"> Assist countries with formulation of new requests for climate change mainstreaming and respond to other requests already in the project design documents.
	1.3 Develop and implement a climate change communications plan for SPC.		
	<ul style="list-style-type: none"> 1.3.1 Develop a climate change communications plan for SPC. 1.3.2 Develop a climate change communication plan for GCCA: PSIS project 1.3.3 Recruit a Climate Change Communications Officer to implement the plan 1.3.4 Prepare and distribute four 	<ul style="list-style-type: none"> Implementation of SPC Climate Change plan underway; Issue 1 of SPC Climate Change & DRM newsletter published June 2013 (2 articles on GCCA: PSIS project activities). Articles on GCCA: PSIS project in May and June 2013 editions of SPREP Climate Change Matters newsletter 2 media releases on the project issued. Climate Change Communications Officer commenced 25 March 2013. 4 national videos and 1 regional video 	<ul style="list-style-type: none"> Continue implementation of SPC Communications Plan. Issues 2, 3 of SPC Newsletter, articles in other newsletters. Prepare GCCA: PSIS communications plan Finalise GCCA: PSIS Communications Plan and commence implementation.

Performance and success indicators	Planned Activities for January - December 2013	Progress and issues January-June 2013	Activities for July-December 2013
	national and one regional climate change adaptation videos. <ul style="list-style-type: none"> 1.3.5 Share activities among countries and distribute visibility products 	finalised and distributed to all 9 countries. <ul style="list-style-type: none"> 5 videos launched at Joint Regional meeting for DRM and CCA side event, in Fiji on 11th July 2013. Visibility products (T-shirts, bags, memory sticks, banners) produced and distributed. 	<ul style="list-style-type: none"> Compile feedback from broadcast of videos Hold national launches in at least 2 countries Distribute visibility products. Update project brief. Begin planning video on climate change adaptation projects
	1.4 Develop and implement national climate change communications plans for at least four countries.		
	1.4 Assess country needs for national climate change communication plans.	<ul style="list-style-type: none"> Kiribati – Climate Change Communications Plan (TA). Ongoing. 	<ul style="list-style-type: none"> Kiribati – Complete Climate Change Communications Plan Respond to other countries' requests for climate change communication plans

Performance and success indicators	Planned Activities for January-December 2013	Progress and issues January-June 2013	Activities for July-December 2013
<ul style="list-style-type: none"> Climate change coordinators in place and contracts signed in at least four countries by 12/2013. Sectoral policies/strategies that address budget support criterion 1¹ in at least 2 countries by 12/2014. 	Key Results Area 2 Well articulated sectoral adaptation strategies that address budget support criteria number 1 in place.		

¹ Criterion 1 relates to the existence of national or sector policies or strategies that meet the criteria of relevance and credibility (relevance refers to poverty reduction, sustainable and inclusive growth and democratic governance as well as specific national challenges; and credibility refers to the track record in policy implementation, policy financing, institutional capacity and ownership, and the quality of data and analysis underlying the policy).

Performance and success indicators	Planned Activities for January-December 2013	Progress and issues January-June 2013	Activities for July-December 2013
<ul style="list-style-type: none"> Capacity to apply the Logical Framework Approach to project design built in at least six countries by 12/2014. 			
	2.1 Enhance national climate change coordination in at least five countries		
	<ul style="list-style-type: none"> 2.1.1 Establish agreements with 9 countries for project implementation. 2.1.2. Recruit National Climate Change Coordinators in a minimum of 7 countries. 	<ul style="list-style-type: none"> Final 2 countries have completed and signed letters of agreement (Marshall Islands and Tuvalu). 4 national coordinators are in position: Cook Islands commenced 07.01.13; FSM commenced 08.02.13; Tonga commenced 11.03.13; Nauru commenced 19.06.13. The other five countries are in the process of recruiting national coordinators. 	<ul style="list-style-type: none"> Complete recruitment of the remaining five national coordinators.
	2.2 Review budget support readiness in at least seven countries and share findings regionally.		
	<ul style="list-style-type: none"> 2.2.1 Review budget support readiness in a minimum of 7 countries (especially criterion 1) 2.2.2 Hold a regional workshop to discuss budget support and prepare plan of action for national follow-up 	<ul style="list-style-type: none"> Review ongoing – 1 June–7 September 2013; two consulting firms are collaborating to undertake the review Regional meeting scheduled for 25-27 Sep in Tonga 	<ul style="list-style-type: none"> Assess review and present to Regional Meeting on Climate Change Finance Hold regional meeting and determine future action.
	2.3 Prepare national and/or sectoral policies and plans that incorporate climate change and better comply with budget support criterion 1 in at least two countries.		
	<ul style="list-style-type: none"> 2.3 Prepare national and/or sectoral policies and plans that incorporate climate change and better comply with budget support criterion 1 in at 	<ul style="list-style-type: none"> Still in planning stage. 	<ul style="list-style-type: none"> Planning for this activity to start late 2013 after the regional meeting outcome has been reviewed (see 2.2.2)

Performance and success indicators	Planned Activities for January-December 2013	Progress and issues January-June 2013	Activities for July-December 2013
	least two countries.		
	2.4 Provide regional training in project proposal preparation and national level training in at least four countries.		
<ul style="list-style-type: none"> 	<ul style="list-style-type: none"> 2.4 Conduct national training in project proposal preparation using the logical framework approach in 4 countries 	<ul style="list-style-type: none"> TA completed to design a training program and deliver in 1 country. 25 participants trained in Cook Islands, 8-13 May; 20 participants from Project Team and SPREP received top-up training 12 April 2013. TA in progress for the delivery of the training in other 8 countries and schedule prepared through to Q1 2014. 	<ul style="list-style-type: none"> Deliver training in Marshall Islands, Niue, Kiribati, Nauru, Tuvalu in 2013 (remaining countries in Q1 2014). Include Directors of Met Offices in training at their special request. Collaborate with SPREP, APN and CCCPIR to plan and deliver regional training in M&E.
<ul style="list-style-type: none"> Climate change adaptation activities implemented in three different sectors by 12/2014. Lessons learnt about (on-the-ground) climate change adaptation activities compiled, analysed and shared by 12/2014. 	Key Result Area 3 National climate change adaptation projects implemented.		
	3.1 Prepare selection criteria and assist with identification of needs and prioritisation of a specific sector for climate change adaptation activities in nine countries.		
	<ul style="list-style-type: none"> 3.1 Select a specific sector for an adaptation project and prepare a concept in 9 countries. 	<ul style="list-style-type: none"> The remaining country (Tuvalu) has selected a sector for the adaptation project. The sectors are as follows: 1 in the coastal and marine resources sector (Cook Islands); 2 in coastal protection (Tonga, Marshall Islands); 1 in the health sector (Kiribati); 4 in water (FSM, Nauru, Niue, Palau); 1 in food security (Tuvalu). A further 2 countries have finalised adaptation project concept notes 	<ul style="list-style-type: none"> Finalise concept notes in remaining 2 countries.

Performance and success indicators	Planned Activities for January-December 2013	Progress and issues January-June 2013	Activities for July-December 2013
		(Niue and Palau).	
	3.2 Assist at least seven countries design adaptation projects in a participatory manner and using the logical framework approach.		
	<ul style="list-style-type: none"> 3.2 Prepare detailed project designs in a participatory manner in at least 7 countries 	<ul style="list-style-type: none"> Multi-stakeholder participatory workshops to plan the project and develop log frames held in 7 countries. 	<ul style="list-style-type: none"> Hold multi-stakeholder workshops in remaining 2 countries (Marshall Islands and Tuvalu)
	3.3 Oversee and guide at least seven countries in the implementation and evaluation of their adaptation projects.		
	<ul style="list-style-type: none"> 3.3. Start project implementation in at least 5 countries 	<ul style="list-style-type: none"> Full project design documents signed in 2 countries: Cook Islands (April 2013), Kiribati (June 2013) and implementation has commenced. Full project design documents under review in FSM, Palau and Tonga and signature expected shortly. 	<ul style="list-style-type: none"> Oversee and assist with implementation Complete full project design documents in Nauru and Niue. Advance project design documents in Marshall Islands and Tuvalu
	3.4 Compile and analyse lessons learnt from the national adaptation activities and disseminate widely at the national and regional level.		
	<ul style="list-style-type: none"> 3.4 Compile lessons learnt from adaptation projects 	<ul style="list-style-type: none"> Lessons learnt shared with SPREP 12.04.13; at GCCA: ACP Steering Committee 18-19.07.13 in Belize. 	<ul style="list-style-type: none"> Continue to compile lessons learnt and share at appropriate events. Investigate opportunities for sharing lessons learnt with donors.
Performance and success indicators	Planned Activities for July 2012-December 2013	Progress and issues July-December 2012	Activities for January-December 2013
<ul style="list-style-type: none"> Two new regional coordination tools available, by 12/2012. Minimum of ten national representatives representing a minimum of three countries regularly contributing to the 	Key Result Area 4 Streamlined technical assistance that supports national adaptation responses delivered by regional organizations in a collaborative manner		

Performance and success indicators	Planned Activities for January-December 2013	Progress and issues January-June 2013	Activities for July-December 2013
Climate Change Portal by 12/2014 <ul style="list-style-type: none"> At least ten regional/sub-regional climate change resilience building activities implemented collaboratively by regional organisations by 12/2014. 			
	4.1 Develop a matrix of regional climate change activities and assist with the uptake and development of the Pacific Climate Change Portal to assist countries to identify and formulate appropriate adaptation responses.		
	<ul style="list-style-type: none"> 4.1.1 Develop a matrix of climate change activities by sector for the Pacific region. 4.1.2 Populate the Climate Change Portal with GCCA: PSIS and other information. 4.1.3 Provide national training in updating the Climate Change Portal through 2 sub-regional training workshops 	<ul style="list-style-type: none"> 1 matrix developed in 2012 Project information available on the Climate Change Portal under Projects. 25 Pacific Islanders, including representatives from FSM, Marshall Islands, Palau, Kiribati and Nauru were trained in updating the Climate Change Portal at a sub-regional workshop held in FSM 19-21.02.13. 	<ul style="list-style-type: none"> Update the national climate change matrix. Update and expand the information on the Portal as an ongoing activity. Assess how the trainees update the portal. Seek additional support for the Portal
	4.2 Strengthen regional coordination through undertaking country activities jointly with other organisations, utilising cross sectoral framework such as JNAP and contributing to regional planning frameworks such as the PCCR and CROP CEOs Climate Change Subcommittee (WACC).		
	<ul style="list-style-type: none"> 4.2.1 Participate in PCCR and contribute to follow-up in project countries. 4.2.2 CROP CEO's Climate 	<ul style="list-style-type: none"> Joint planning with SPREP and other CROP agencies for PCCR (3-5 July) and Joint CCA and DRM Roadmap (8-11 July) meetings. Funding support provided by GCCA: PSIS to each meeting. 1 WACC (February 2013) and 1 DPCC 	<ul style="list-style-type: none"> Participate in and assist with the 2 regional meetings. Contribute to the follow-up from the 2 meetings Continue WACC and DPCC

Performance and success indicators	Planned Activities for January-December 2013	Progress and issues January-June 2013	Activities for July-December 2013
	<p>Change Sub-Committee - Working Arm on Climate Change (WACC) and Development Partners for Climate Change (DPCC) informed about GCCA: PSIS activities.</p> <ul style="list-style-type: none"> 4.2.3 Coordinate all donor funded climate change activities implemented through SPC 	<p>meeting (June 2013) informed about GCCA: PSIS activities via SPC representatives.</p> <ul style="list-style-type: none"> 1 quarterly meeting (February 2013) held with PIFS, UNDP and SPREP to collaborate work in climate change financing. Collaborate with PIFS and ADAPT Asia in Regional Climate Change Financing Workshop (30 May – 4 June) 3 joint missions conducted with other projects and donors: Kiribati in January with NIWA; Cook Islands in May with SPREP; Palau in May with CCCPIR. Collaboration with SPC/DRM in JNAP process in 3 countries: FSM, Kiribati, Palau 2 bi-monthly meetings conducted to collaborate with SPC's climate change projects funded by AusAID, EU, GIZ and USAID. 	<p>updating and regular collaboration meetings with partners working in climate change financing.</p> <ul style="list-style-type: none"> Continue regional and national collaboration and joint missions with CROP agencies and other partners. Bi-monthly meetings for collaboration.
	4.3 Enhance coordination especially between SPC and SPREP in the planning and delivery of climate change activities in countries.		
	<p>4.3 1 At least 5 joint SPC/SPREP activities conducted (Already detailed to some extent in 4.2 since several CROP agencies involved in activities)</p>	<ul style="list-style-type: none"> 1 SPC/SPREP lessons learnt meeting 12.04.13 Joint (with SPREP) planning and delivery of proposal preparation workshop in Cook Islands Joint planning and delivery of Climate Change Portal sub-regional training in February Joint planning and delivery of PCCR and CCA/DRM Roadmap meetings 	<ul style="list-style-type: none"> Advance planning and implementation of joint M&E regional training with SPREP, APN, CCCPIR.

3.2 Interim Financial Statement 1 July 2011 – 30 June 2013

Following submission of the Progress Report for the period 1 July – 31st December 2012, and the request for the second tranche in January 2013, the EU requested an audited report of the project financials.

This audit was undertaken and submitted to the EU on 10th July 2013. The audit showed that the actual and committed expenditures up to 31 December 2012 represented 118% of the funds advanced in the first tranche (€1,269,971).

The second tranche of €2,260,975 was received on 29th July 2013.

For the purposes of this Progress Report, the committed and actual expenditure as of 30 June 2013 is reported against the first tranche only in the following table. This shows that the actual and committed expenditures up to 30 June 2013 represented 135% of the funds advanced in the first tranche. (The next report, for the period up to 31 December 2013, will display the actual and committed expenditure as reported against all funds received).

The table overleaf shows the committed and actual expenditure as of 30 June 2013.

It is anticipated that budget lines where the project is overspent, such as the regional training workshops, project engagement (national coordinators) and adaptation projects will be balanced out in the December 2013 report which will reflect receipt of the second tranche.

**Global Climate Change Alliance - GCCA: PSIS
Interim Financial Report as at 30th June 2013**

		Budget Euros	Actuals Euros	Balance Euros
Technical Assistance				
<i>Long Term Technical Assistance</i>				
Project Manager/ Team Leader	GCCA 1.0	120,000	108,274	11,726
Climate Change Advisor-Suva	GCCA 1.0	110,000	88,261	21,739
Climate Change Advisor-Pohnpei	GCCA 1.0	110,000	98,726	11,274
Project Finance Officer	GCCA 1.0	25,000	39,691 -	14,691
Project Liaison Assistant	GCCA 1.0		7,037 -	7,037
Climate Change Advisor	GCCA 1.0		1,118	
Long Term Tech Assist to SPREP	GCCA 1.1	120,000	137,328 -	17,328
Specialist Short Term Tech Assist to Beneficiary countries	GCCA 3.0	400,000	66,874	333,126
Sub Total		885,000	547,309	338,809

Training , Technical, Attachments, National project engagement support, Travel, Project Visibility and Office Equip and Consumables

Support to SPREP for Travel and in country cost	GCCA 2.0	50,000	6,840	43,160
Support for participating country Proj Engagements	GCCA 5.0	130,000	202,733 -	72,733
Regional Training Workshops and Tech Attachments	GCCA 4.1	120,000	253,163 -	133,163
Project equipment and consumables	GCCA 8.0	40,000	41,462 -	1,462
Pilot Adaptation projects	GCCA 6.0	90,000	377,651 -	287,651
Travel and in Country Cost	GCCA 7.0	110,000	172,693 -	62,693
Visibility	GCCA 9.0	28,750	11,102	17,648
Sub Total (Direct Cost)		568,750	1,065,643 -	496,893
Total Direct cost		1,453,750	1,612,952 -	159,202
In-direct cost (7%)	GCCA 10.0	101,762	72,485	29,277

Total Cost estimate for Year_1	1,555,512	1,685,437 -	129,925
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Funds Received (80% of the Budgeted amount)	1,244,410
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Percentage of expenditure	135%
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Prepared By: Sheikh Mohammed
Project Finance Officer



Authorised By : Unise Cawaru
Management Accountant



Notes

Percentage of Expenditure is based on the total funds outlay against total received.

27% of the pilot project funds are in SPC debtors record which is inclusive in the expenditure report

PM Fees based on expenditure excluding Commitment and Debtors

4. WORK PLAN AROJECTED BUDGET FOR 1 JANUARY - 31 DECEMBER 2013

Activity	Indicator	Q1,	Q2	Q3	Q4
KRA 1 Climate change mainstreamed into national and/or sector response strategies.					
1.1 Review and revise Climate Change Profiles for 9 countries.	Version 2 of the Climate Change Profiles available on project website by April 2013				
1.2.1 Advance national climate change response strategy in one country (Palau)	TOR for technical assistance; consultant(s) recruited; consultancy in progress (progress report) for one country				
1.2.2 Sector specific climate change response strategies advanced in two countries (CI, Tonga)	TOR for technical assistance; consultant(s) recruited; consultancy in progress (progress report) for 2 countries				
1.2.3 Requests for technical assistance for mainstreaming received and acted on for up to six countries	List of requests and responses; TORs for technical assistance prepared where requests are accepted.				
1.3.1 Implement SPC climate change communications	Climate change communications plan implemented.				
1.3.2 Develop and implement a climate change communication plan for GCCA: PSIS	Climate change communications plan prepared and implemented				
1.3.3 Recruit a Climate Change Communications Officer to implement the plan	Climate Change Communications Officer recruited; progress reports relating to implementation of the plan				
1.3.4 Prepare and distribute four national and one regional climate change adaptation videos	5 videos completed and distributed and available through the website.				
1.3.5 Share activities among countries and distribute visibility products	Regular country updates; updated website; visibility products distributed				
1.4 Assess country needs for national climate change communication plans	Responses obtained from at least 5 countries on climate change communication needs; TORs prepared where appropriate.				
KRA 2 Well articulated sectoral adaptation strategies that address budget support criteria in place					

Activity	Indicator	Q1,	Q2	Q3	Q4
2.1.1 Establish agreements with 9 countries for project implementation	Letters of Agreement signed for 9 countries				
2.1.2. Recruit National Climate Change Coordinators in a minimum of 7 countries	Regular progress reports from National Coordinators				
2.2.1 Review budget support readiness in a minimum of 7 countries (especially criterion 1)	TOR, report on the result of the review.				
2.2.2 Hold a regional workshop to discuss budget support and prepare plan of action for national follow-up	Workshop report and plan of action prepared.				
2.4 Conduct national training in project proposal preparation using the logical framework approach in 5 countries	Minimum 50 persons capable of using logical framework approach in project planning				
KRA 3 National climate change adaptation projects implemented.					
3.1 Select a specific sector for an adaptation project and prepare a concept in 9 countries.	Concept notes prepared for projects in consultation with 9 countries.				
3.2 Prepare detailed project designs in a participatory manner in at least 7 countries	Design documents including scheduling and budgets for 7 countries				
3.3. Start project implementation in at least 5 countries	Progress reports				
3.4 Compile lessons learnt from adaptation projects	Initial compilation of lessons learnt shared with countries				
KRA 4 Streamlined technical assistance that supports national adaptation responses delivered by regional organizations in a collaborative manner					
4.1.1 Revise matrix of climate change activities by sector for the Pacific region	Matrix updated and on website				
4.1.2 Populate the Climate Change Portal with GCCA PSIS and other information	GCCA: PSIS project activities up to date on the Portal				

Activity	Indicator	Q1,	Q2	Q3	Q4
4.1.3 Provide national training in updating the Climate Change Portal through 1 sub-regional training workshops	Reports on two sub-regional training workshops				
.2.1 Assist with preparations for the PCCR (July 2013)	Planning Meeting Minutes, Preparatory information uploaded on the Climate Change Portal, minutes GCCA team meetings				
4.2.1 Participate in PCCR and contribute to follow-up in project countries	Report on meeting; implementation of action items				
4.2.2 CROP CEO's Climate Change Sub-Committee - Working Arm on Climate Change (WACC) and Development Partners for Climate Change (DPCC) informed about GCCA: PSIS activities	Minutes from meetings of WACC and DPCC				
4.2.3 Coordinate all donor funded climate change activities implemented through SPC	Minutes of SPC Climate Change Managers group				
4.2.4 Project activities to support the Joint National Adaptations Plans (JNAP) for CCA and DRM conducted in at least six countries	Documentation of activities directly supporting JNAP				
4.3 1 At least 5 joint SPC/SPREP activities conducted.	Documentation relating to joint activities				

